



**SAQA ID**  
91671

**DURATION**  
12 Months

**CREDITS**  
240

**QCTO**

**Occupational Certificate:**

# **COMPLIANCE OFFICER**

## NQF Level 6

**A:** 54 Wierda Road, St Andrews, Ground Floor, Wierda Valley, Sandton  
**Campus A:** Block M, Central Park, 400 16th Rd, Randjespark, Midrand, 1685



## PROGRAMME OVERVIEW

The Occupational Certificate: Compliance Officer prepares learners to provide compliance services, enabling organizations to adhere to local and international statutes, regulations, and standards. It equips learners to design, implement, and manage compliance risk frameworks, ensuring regulatory alignment and operational integrity.

### ENTRY REQUIREMENTS

- NQF Level 4 qualification or equivalent.
- Communication Skills at NQF Level 4.
- Mathematical Literacy at NQF Level 4.
- Exposure in the compliance and regulations.
- Alternatively, access may be granted through Recognition of Prior Learning (RPL), considering learner work experience.

### RECOMMENDED TARGET AUDIENCE

- Individuals with accounting or legal qualifications.
- Practitioners in compliance seeking formal qualifications.
- Professionals in accounting or legal fields transitioning to compliance roles.

### OUTCOMES AND OBJECTIVES

#### Upon completion, learners will demonstrate:

1. A mastery of key compliance risk assessment methodologies.
2. Comprehensive understanding of the regulatory environment.
3. Proficiency in monitoring, reporting, and advising on compliance issues.
4. Competence in stakeholder engagement and regulatory interaction.

### CAREER OPPORTUNITIES

#### Upon completion, learners can pursue roles such as:

- Compliance Officer in large organizations.
- Independent compliance consultant for small

businesses.

- Specialist roles in regulatory risk management, auditing, and advisory.

### ARTICULATION OPTIONS

This qualification offers both horizontal and vertical articulation possibilities:

#### Vertical Articulation:

- Related qualifications in financial services, auditing, or risk management.

#### Horizontal Articulation:

- Advanced certifications in compliance or regulatory management.
- Postgraduate studies in finance, law, or risk management.